



Attention:

Commissioner of Patents

Canadian Intellectual Property Office
Place du Portage I, 50 Victoria Street
Gatineau QC K1A 0C9

**PATENT OFFICE
REQUEST FOR THE REGISTRATION OF A TRANSFER**

1. REQUEST FOR REGISTRATION

Registration of the following change in ownership is hereby requested. It is understood that it is the requestor's responsibility to include in the form all the information required for the registration of the transfer and ensure the accuracy of the included information.

2. PATENT APPLICATION(S) OR PATENT(S) CONCERNED

Client's reference number:	
Application or patent number (Canadian or PCT number):	
Title:	

Information regarding further application(s) or patent(s) concerned is provided on additional sheet no. _____.

3. BASIS FOR TRANSFER

The transfer resulted from:

an assignment a merger other (specify): _____

The transfer was effective on (yyyy/mm/dd): _____, or before the filing date.

the original document, a copy of the original document or the pertinent extract is enclosed (mandatory).

4. EXTENT OR SCOPE OF THE TRANSFER

The interest owned by the transferor/assignor is transferred in:

whole part

Further information is provided on sheet no. _____.

5. PROOF OF THE TRANSFER

For the purposes of section 49 or section 50 of the *Patent Act*, the following proof accompanies the request:

an affidavit; or

a document showing that the assignment or transfer was registered in a patent office of another country; or

if the change in ownership relates to a patent application, the following statement from the requestor or from a witness: "to the knowledge of the author of this statement, the document effecting the transfer was signed and the transfer was executed by the transferor/assignor;" or

if the change in ownership relates to a patent, the following statement from the requestor or from a witness: "to the knowledge of the author of this statement, the document effecting the transfer was signed and the transfer was executed by all parties;" or

presence of the signature of a witness or presence of a corporate seal on the assignment or transfer document; or

other (specify): _____

6. TRANSFEROR(S)/ASSIGNOR(S)Name and complete address: *(Family name followed by given name; for any other legal entity, full official designation.)*

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Telephone**Fax****Email**

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Name and complete address: *(Family name followed by given name; for any other legal entity, full official designation.)*

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Telephone**Fax****Email**

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 Information regarding further transferor(s)/assignor(s) is provided on additional sheet no. _____.
7. TRANSFEREE(S)/ASSIGNEE(S)Name and complete address: *(Family name followed by given name; for any other legal entity, full official designation.)*

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 This transferee/assignee should **not** be indicated as owner currently on record *(this transfer/assignment was followed by at least one other transfer/assignment)*.
Telephone**Fax****Email**

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Name and complete address: *(Family name followed by given name; for any other legal entity, full official designation.)*

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 This transferee/assignee should **not** be indicated as owner currently on record *(this transfer/assignment was followed by at least one other transfer/assignment)*.
Telephone**Fax****Email**

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 Information regarding further transferee(s)/assignee(s) is provided on additional sheet no. _____.
8. PRESCRIBED FEE

In accordance with Schedule 2 of the Tariff of Fees, a prescribed fee must be submitted for each document registered, per application or patent concerned. Payment should be submitted at the same time using CIPO's Fee Payment Form (<http://www.ic.gc.ca/eic/site/cipointernet-internetopic.nsf/eng/wr01762.html>).

Number of document(s) to be registered: _____ x number of application(s) or patent(s) concerned: _____

x prescribed fee = \$ _____

9. REQUESTOR INFORMATION

This request is submitted by:

- the transferor/assignor/owner currently on record
- the transferee/assignee/new owner
- a representative acting on behalf of the transferor(s)/assignor(s)
- a representative acting on behalf of the transferee(s)/assignee(s)
(this request does not constitute a formal appointment of agent)

If the request is submitted by a representative, please indicate name and complete address: *(Family name followed by given name; for any other legal entity, full official designation.)*

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Telephone

Fax

Email

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Signature, label or seal

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INSTRUCTIONS

REQUEST FOR THE REGISTRATION OF A TRANSFER

Failure to complete all the sections of the form may result in the registration not being processed. Telephone, fax and email are optional.

1. Request for registration

By completing and submitting this form, you request that the transfer identified in the form be registered by the Commissioner of Patents. It is understood that it is the requestor's responsibility to include in the form all the information required for the registration of the transfer and ensure the accuracy of the included information.

2. Patent application(s) or patent(s) concerned

Identify the application or patent concerned affected by a transfer which registration is hereby requested.

Indicate the application or patent number, if one has been assigned by the Patent Office, or the PCT number, and the title of the invention. The client's reference number is optional.

3. Basis for transfer

Select basis for the transfer. If it results from a transaction other than an assignment or a merger or is the object of a court order, or another form of transfer, select the box "other" and specify the basis for the change in ownership.

Provide the date on which the transfer was effective. If the effective date of the transfer precedes the filing date of the application or patent concerned, please select the corresponding box.

It is mandatory to submit a copy of the document effecting the change in ownership, or a pertinent extract thereof.

4. Extent or scope of the transfer

Select whether the interest owned by the assignor in the identified application or patent concerned is transferred in whole or in part.

5. Proof of the transfer

Select the type of proof that accompanies the request. The box "other" may be selected and followed by more information in the situation where, for example, the change of ownership is by virtue of a court order or where the assignor/transferor is deceased or a testamentary letter accompanies the request.

6. Transferor(s)/assignors

Identify the transferor(s)/assignor(s). Provide their name (family name followed by given name, i.e. Smith, John; for a legal entity, full official designation, i.e. ABC Company Limited) and complete address (number, street, city, province, postal code [when applicable] and country).

In the situation of a deceased assignor/transferor, please indicate “(deceased)” after the name of the assignor/transferor and submit the appropriate documentation in support of the assignment/transfer.

Telephone, fax and email are optional.

7. Transferee(s)/assignee(s)

Identify the transferee(s)/assignee(s). Provide their name (family name followed by given name; for a legal entity, full official designation) and complete address (number, street, city, province, postal code [when applicable] and country).

Telephone, fax and email are optional.

Select the box indicating that “This transferee/assignee should not be indicated as owner currently on record” in the situation where the transferee/assignee is not the current owner (i.e. there have been subsequent changes of ownership).

8. Prescribed fee

In accordance with item 21 of Schedule 2 of the Tariff of Fees (<http://laws.justice.gc.ca/eng/SOR-96-423/page-5.html#anchors:2>), a unitary fee must be submitted for each document registered, per application or patent concerned. Determine the amount of the total fee that must be paid by multiplying the number of document(s) to be registered by the number of application(s) or patent(s) concerned by the unitary fee.

Payment must accompany the request and can be submitted using CIPO’s Fee Payment Form (<http://www.ic.gc.ca/eic/site/cipointernet-internetopic.nsf/eng/wr01762.html>).

9. Requestor information

Select the identity of the person submitting the request for registration.

If the request is submitted by someone other than the assignor/transferor or assignee/transferee, identified in boxes 6 and 7, or the agent already on record, provide the name and complete address of the requestor.

Telephone, fax and email are optional.

The signature, label or seal of the requestor is mandatory.

Note that this request does not constitute a formal appointment of agent.